



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,457	12/07/2001	Anthony Cerami	10162-006-999

CONFIRMATION NO. 5299

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

## FORMALITIES LETTER



*Oath/Declaration fees due 5/21/02 w/*

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$660.
  - \$576 for 32 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1530.

*A copy of this notice **MUST** be returned with the reply.*

*Teduo*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/23/2002 SDENBOB1 00000026 161150 10017457

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:103	576.00 CH
04 FC:105	130.00 CH

REFERRED TO	<i>M. Roper</i>
REC'D	
MAR 28 2002	
Pennie & Edmonds	
O.K. for filing	



Express Mail No.: EL 500 575 635 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: A. Cerami, C. Cerami, Q. Xie, K. TenHuisen, J. Rosenblatt and I. Koyfman  
Application No.: 10/017,457  
Filed: December 7, 2001  
For: IMMUNE MODULATION DEVICE FOR USE IN ANIMALS

Art Unit: 3736  
Examiner: Not Yet Assigned  
Atty Docket No.: 10162-006-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231  
S I R :

Pursuant to a Notice to File Missing Parts of Nonprovisional Application mailed March 21, 2002, in connection with the above-identified application, Applicants submit herewith: (a) a Declaration for Nonprovisional Application executed by inventors Anthony Cerami, Carla Cerami, and Qiao-Wen Xie on June 19, 2002; (b) a Power of Attorney executed by Carla Cerami, Secretary, for assignee, VLN, LLC., on June 19, 2002; (c) a Petition Under 37 C.F.R. § 1.47(a) and a Declaration by Michael J. Ryan, Esq. in support thereof; (d) a copy of the Notice to File Missing Parts of Nonprovisional Application; and (e) a Petition for Extension of Time for three (3) months from May 21, 2002, to and including August 21, 2002.

An Assignment of the above-captioned patent application to VLN, LLC executed by inventors Anthony Cerami, and Carla Cerami on June 19, 2002, and by Qiao-Wen Xie on June 18, 2002, is submitted for recordation on even date herewith. A copy of that Assignment is attached hereto.

The statutory filing fee (under 37 CFR § 1.16(a)) and additional claim fees required (under 37 CFR §§ 1.16(b), and 1.16(c)) to be filed in response to the Notice to File

Missing Parts of Nonprovisional Application have been estimated to be \$ 1400. (Large Entity).

The surcharge required to be filed with the accompanying Declaration under 37 C.F.R. § 1.16(e) has been estimated to be \$ 130.00 (Large Entity).

Therefore the total fee believed due has been estimated to be \$ 1530. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Laura A. Coruzzi 30,742  
Laura A. Coruzzi (Reg. No.)

Date August 20, 2002 By: Michael J. Ryan 41,283  
Michael J. Ryan (Reg. No.)

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Enclosures